STATE OF CALIFORNIA
LOAN AMENDMENT REQUEST FORM (LARF)
CEC-278 (Revised 10/2015) CALIFORNIA ENERGY COMMISSION

Original Agreement # 002-15-ECD  Amendment # 3

Division Agreement Manager: Phone
400 Efficiency Renewable Energy Division Monica Rudman 23 916-654-4462

Recipient’s Legal Name Federal ID Number
City of Santa Cruz 94-6000427

Revisions: (check all that apply)
☐ Term Extension New End Date: / / Include revised schedule and complete items A, B, C, & F below.
☒ Budget Augmentation Amendment Amount: $ Include revised budget and complete items A, B, C, D, & F below.
☒ Budget Reallocation Include revised budget and complete items A, B, C, & F below.
☒ Scope of Work Revision Include revised scope of work and complete items A, B, C, E, & F below.
☐ Change in Project Location or Demonstration Site Include revised scope of work and complete items A, B, C, E, & F below.
☐ Novation/Name Change of Prime Contractor/Recipient Include novation documentation and complete items A, B, C, & F below.
☐ Terms and Conditions Modification Include applicable exhibits with bold/underline/strikeout and complete items A, B, C, & F below.

A) Business Meeting Information
Business Meeting approval is not required for the following types of Agreements:
☐ Minor amendments delegated to Executive Director per December 2013 Resolution

Proposed Business Meeting Date January 17, 2018 ☐ Consent ☒ Discussion
Business Meeting Presenter Monica Rudman Time Needed: 10 minutes

Please select one list serve. Financing (Energy Efficiency Financing)

Agenda Item Subject and Description
Proposed resolution approving Amendment 3 to ECAA Loan Agreement 002-15-ECD with the City of Santa Cruz to increase the budget for a Comprehensive Mechanical System Upgrade at the City Hall Annex building to $531,000, to delete two measures from the project scope (Exhaust Fans at the City Hall –Historic building and Attic Insulation at the Fire Administration building) and to augment the loan by $127,652.

B) List all subcontractors (major and minor) and equipment vendors: (attach additional sheets as necessary)

Legal Company Name: Budget (to Date)
Aircon Energy $ 132,000
Other Contractors (See attached spreadsheet for details) $ 323,000
Equipment Vendors $ 75,000

C) List all key partners: (attach additional sheets as necessary)

Legal Company Name:
Pacific Gas & Electric Company; AMBAG Energy Watch/Ecology Action

D) Budget Information (only include amendment amount information)

<table>
<thead>
<tr>
<th>Funding Source</th>
<th>Funding Year of Appropriation</th>
<th>Budget List No.</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>ECAA</td>
<td></td>
<td></td>
<td>$</td>
</tr>
<tr>
<td>Funding Source</td>
<td></td>
<td></td>
<td>$</td>
</tr>
<tr>
<td>Funding Source</td>
<td></td>
<td></td>
<td>$</td>
</tr>
<tr>
<td>Funding Source</td>
<td></td>
<td></td>
<td>$</td>
</tr>
<tr>
<td>Funding Source</td>
<td></td>
<td></td>
<td>$</td>
</tr>
<tr>
<td>R&amp;D Program Area:</td>
<td>Select Program Area</td>
<td>TOTAL:</td>
<td>$</td>
</tr>
</tbody>
</table>

Explanation for “Other” selection
Reimbursement Contract #: Federal Agreement #:
E) California Environmental Quality Act (CEQA) Compliance

1. Is Agreement considered a “Project” under CEQA?
   ☒ Yes (skip to question 2)   ☐ No (complete the following (PRC 21065 and 14 CCR 15378)):
   Explain why Agreement is not considered a “Project”:
   Agreement will not cause direct physical change in the environment or a reasonably foreseeable indirect physical change in the environment because .

2. If Agreement is considered a “Project” under CEQA:
   ☐ a) Agreement IS exempt. (Attach draft NOE)
      ☒ Categorical Exemption. List CCR section number: 14 CCR 15301
   ☐ Common Sense Exemption. 14 CCR 15061 (b) (3)
   Explain reason why Agreement is exempt under the above section:
   The proposed amendment would increase the budget for a measure and drop some measures from the scope of work. These changes will not result in any impacts to the environment not already considered by the lead agency, the City of Santa Cruz, and do not constitute a substantial change or new information of substantial importance under California Code of Regulations, title 14, section 15162.

   ☐ b) Agreement IS NOT exempt. (Consult with the legal office to determine next steps.)
   Check all that apply
   ☐ Initial Study   ☐ Negative Declaration   ☐ Environmental Impact Report
   ☐ Mitigated Negative Declaration   ☐ Statement of Overriding Considerations

F) The following items should be attached to this ARF (as applicable)

1. Exhibit A, Scope of Work   ☐ N/A   ☒ Attached
2. Exhibit B, Budget Detail   ☐ N/A   ☒ Attached
3. CEQA Documentation   ☒ N/A   ☐ Attached
4. Novation Documentation   ☒ N/A   ☐ Attached
5. CEC 105, Questionnaire for Identifying Conflicts   ☐ N/A   ☒ Attached

Agreement Manager __________ Date __________
Office Manager __________ Date __________
Deputy Director __________ Date __________
RESOLUTION NO: 18-0117-5

STATE OF CALIFORNIA

STATE ENERGY RESOURCES
CONSERVATION AND DEVELOPMENT COMMISSION

RESOLUTION - RE: CITY OF SANTA CRUZ

RESOLVED, that the State Energy Resources Conservation and Development Commission (Energy Commission) adopts the staff CEQA findings contained in the Agreement or Amendment Request Form (as applicable); and

RESOLVED, that the Energy Commission approves Amendment 3 to ECAA Loan Agreement 002-15-ECD with the City of Santa Cruz to increase the budget for a Comprehensive Mechanical System Upgrade at the City Hall Annex building to $531,000, to delete two measures from the project scope (Exhaust Fans at the City Hall – Historic building and Attic Insulation at the Fire Administration building) and to increase the project cost by $127,652; and

FURTHER BE IT RESOLVED, that the Executive Director or his/her designee shall execute the same on behalf of the Energy Commission.

CERTIFICATION

The undersigned Secretariat to the Commission does hereby certify that the foregoing is a full, true, and correct copy of a Resolution duly and regularly adopted at a meeting of the California Energy Commission held on January 17, 2018.

AYE: [List of Commissioners]
NAY: [List of Commissioners]
ABSENT: [List of Commissioners]
ABSTAIN: [List of Commissioners]

Cody Goldthrite,
Secretariat